

01/23/01  
jc960 U.S. PTO

01-25-01

A

# IN THE UNITED STATES PATENT AND TRADEMARK

Box New Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney's Docket No.: 20381-16  
(50P3903)

## FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of : RAFEY et al.

For: SYSTEM AND METHOD FOR ENABLING ANONYMOUS PERSONALIZATION

## ENCLOSURES

- ☒ 22 page application including specification, claims and abstract;
- ☒ 9 sheets (Figs. 1 - 7B) of ☒ informal/☐ formal drawings;
- ☒ A Declaration, Power of Attorney & Petition (☒ signed/☐ unsigned);
- ☒ A postcard for return to us as proof of receipt of the referenced documents.

and

- ☒ An Assignment of the invention with an assignment cover sheet;
- ☐ Applicant claims small entity status (Under 37 CFR 1.27);
- ☐ IDS (form PTO-1449) and copies of references;
- ☒ An Associate Power of Attorney;
- ☐ A certified copy of the priority document (Under 35 USC 119);
- ☐ A Power of Attorney by Assignee;
- ☐ Other.

## TYPE OF FILING

- ☐ This application claims the benefit of an earlier filed U.S. Patent Application (35 USC 120).
- ☐ This application claims the benefit of the priority date of an earlier filed provisional patent application (35 USC 119).
- ☐ This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- ☒ In the event any parts of this application are missing, please treat this as a filing under 37 CFR 1.53 as defined just above.

### CERTIFICATE OF MAILING (37 CFR 1.10(A))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EL745056777US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: Jan. 23, 2001

  
Jodie M. Price

jc986 U.S. PTO  
09/768951  
01/23/01

## FEE CALCULATION

The filing fee has been calculated as shown below:

				SMALL ENTITY	OR	OTHER THAN A SMALL ENTITY	
BASIC FEE Design Patent				\$160	\$	\$320	\$
BASIC FEE Utility Patent				\$355	\$	\$710	\$710.00
EXTRA FEES				RATE	FEE	RATE	FEE
Total claims	21	minus 20 =	1	x9 =	\$0	x18 =	\$18.00
Independent Claims	3	minus 3 =	0	x40 =	\$0	x80 =	\$
<input type="checkbox"/> Multiple Dep. Claim				+130 =	\$	+260 =	\$
<input checked="" type="checkbox"/> Assignment				+40 =	\$	+40 =	\$40.00
<input type="checkbox"/> Rule 53 Surcharge				+65 =	\$	+130 =	\$
<b>TOTAL</b>					\$		\$768.00


### FEE PAYMENT

- ☒ Attached is a Check in the sum of \$768.00 to cover the filing fee.  
☐ Please charge Account No. 02-3964 the sum of \$\_\_\_\_\_

### FEE DEFICIENCY

- ☐ Please charge Account No. 02-3964 in the sum of \$\_\_\_\_\_
- ☒ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. 02-3964:
- ☒ Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.
- ☒ Assignment Recordal fees.
- ☒ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 02-3964.
- ☐ A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
 Claude A. S. Hamrick  
 Reg. No: 22,586

Dated: 1/23/01

OPPENHEIMER WOLFF & DONNELLY LLP  
 Customer No. 25696  
 1400 Page Mill Road  
 Palo Alto, CA 94304  
 (650) 320-4000

0076801-01201

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: RAFEY et al.

Docket No.: 20381-016 (50P3903)

Serial No.: Unassigned

Group Art No.: Unassigned

Filed: Herewith

Examiner: Unassigned

For: SYSTEM AND METHOD FOR ENABLING ANONYMOUS  
PERSONALIZATION

**CHANGE OF MAILING ADDRESS**

Assistant Commissioner for Patents  
Box: New Application  
Washington, D.C. 20231

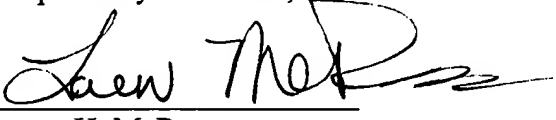
Dear Sir:

Please address all further correspondence in the above-identified application to:

Claude A. S. Hamrick  
OPPENHEIMER WOLFF & DONNELLY LLP  
CUSTOMER NO. 25696  
1400 Page Mill Ave.  
Palo Alto, CA 94304

Date: 1/23/01

Respectfully submitted,

  
Loren H. McRoss  
Reg. No. 40,427

OPPENHEIMER WOLFF & DONNELLY LLP  
CUSTOMER NO. 25696  
1400 Page Mill Road  
Palo Alto, CA 94304

**CERTIFICATE OF MAILING (37 CFR 1.10(A))**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EL745056777US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: Jan. 23, 2001

  
Jodie M. Price